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PATENT

<u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

Applicant(s)

Heeney, et al.

Examiner:

Unassigned

Serial No.:

09/890,379

Group Art Unit:

Unassigned

Confirmation No:

Unassigned

Docket:

294-105 PCT/US

Filed:

July 27, 2001

Dated:

October 3, 2001

For:

PRODUCT AND METHOD

FOR OBTAINING

SPECIFIC IMMUNISATION

WITH ONE OR MORE

ANTIGENS

Assistant Commissioner for Patents

Washington, DC 20231

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail,

postpaid in an envelope, addressed to:

Assistant Commissioner for Patents, Washington, D.C.

20231 on October 3, 2001

Dated: 10/3/01 Must auspeau

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

FOREIGN PATENT DOCUMENTS

COUNTRY

PUBLICATION NO.

PUBLICATION DATE

PCT

WO 97/39771

30 October 1997

NON-PATENT PUBLICATIONS

 Shengqiang Li, Mauricio Rodrigues, Dolores Rodriguez, Juan Ramon Rodriguez, Mariano Esteban, Peter Palese, Ruth S. Nussenzweig, and Fidel Zavala, "Priming Application No.: 09/89 79 Filing Date: July 27, 2001 Docket No.: 294-105 PCT/US

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with recombinant influenza virus followed by administration of recombinant vaccinia virus induces CD8⁺ T-cell-mediated protective immunity against malaria," *Proc. Natl. Acad. Sci. USA* (1993) <u>90</u>: 5214-5218.

- 2. Kari R. Irvine, Ronald S. Chamberlain, Eliza P. Shulman, Deborah R. Surman, Steven A. Rosenberg, Nicholas P. Restifo, "Enhancing Efficacy of Recombinant Anticancer Vaccines With Prime/Boost Regimens That Use Two Different Vectors," *Journal of the National Cancer Institute* (1997) 89: 1595-1601.
- 3. B. Rosenwirth, W.M.J.M. Bogers, I.G. Nieuwenhuis, P. Ten Haaft, H. Niphuis, E.M. Kuhn, N. Bischofberger, V. Erfle, G. Sutter, P. Berglund, P. Liljestrom, K. Uberla, J.L. Heeney, "An anti-HIV strategy combining chemotherapy and therapeutic vaccination," *Journal of Medical Primatology* (1999) 28: 195-205.

The above-referenced documents are listed on PTO Form 1449. We have enclosed the cited documents to facilitate reference to them.

Applicants are not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

Lauren T. Emr

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